Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

Mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		10790901
	Filing Date		2004-03-01
INFORMATION DISCLOSURE	First Named Inventor	Jeffre	y C. Smith
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2439
(Not for Submission under 67 of K 1.00)	Examiner Name	Kari L	Schmidt
	Attorney Docket Numb	er	127-0007-2

U.S.PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5671412		1997-09-23	Christiano	
	2	6591263	B1	2003-07-08	Becker et al.	
	3	6571290	B2	2003-05-27	Selgas et al.	
	4	6567914	B1	2003-05-20	Just et al.	
	5	6560644	B1	2003-05-06	Lautmann et al.	
	6	6321267	B1	2001-11-20	Donaldson	
	7	6304898	B1	2001-10-16	Shiigi	
	8	6285777	B2	2001-09-04	Kanevsky et al.	

Application Number		10790901
Filing Date		2004-03-01
First Named Inventor	Jeffre	y C. Smith
Art Unit		2439
Examiner Name	Kari L	Schmidt
Attorney Docket Numb	er	127-0007-2

9	6275575	B1	2001-08-14	Wu	
10	6240088	B1	2001-03-29	Gayton et al.	
11	6219694	B1	2001-04-17	Lazaridis et al.	
12	6199103	B1	2001-03-06	Sakaguchi et al.	
13	6199102	B1	2001-03-06	Cobb	
14	6185551	B1	2001-02-06	Birrell et al.	
15	6157630		2000-12-05	Adler et al.	
16	6131120		2000-10-10	Reid	
17	6073165		2000-06-06	Narasimhan et al.	
18	6073142		2000-06-06	Geiger et al.	
19	6040784		2000-03-21	Miller	

Application Number		10790901
Filing Date		2004-03-01
First Named Inventor	Jeffre	y C. Smith
Art Unit		2439
Examiner Name	Kari L	Schmidt
Attorney Docket Numb	er	127-0007-2

20	6021427		2000-02-01	Spagna et al.	
21	5999932		1999-12-07	Paul	
22	5995597		1999-11-30	Woltz et al.	
23	5978837		1999-11-02	Foladare et al.	
24	5493692		1996-02-20	Theimer et al.	
25	6263064	B1	2001-07-17	O'Neal et al.	
26	6360254	B1	2002-03-19	Linden et al.	
27	6363140	B1	2002-03-26	Pinard	
28	6421709	B1	2002-07-16	McCormick et al.	
29	6424828	B1	2002-07-23	Collins et al.	
30	6532489	B1	2003-03-11	Merchant	

Application Number		10790901
Filing Date		2004-03-01
First Named Inventor	Jeffre	y C. Smith
Art Unit		2439
Examiner Name	Kari L	Schmidt
Attorney Docket Number		127-0007-2

	31	6446118	B1	2002-09-03	Gottlieb	
	32	6067561		2000-05-23	Dillon	
	33	6282565	B1	2001-08-28	Shaw et al.	
	34	6463462	B1	2002-10-08	Smith et al.	
	35	6606647	B2	2003-08-12	Shah et al.	
	36	5963915		1999-10-05	Kirsch	
	37	6601102	B2	2003-07-29	Eldridge et al.	
	38	6609106	B1	2003-08-19	Robertson	
	39	6438215	B1	2002-08-20	Skladman et al.	
If you wis	h to add	additional U.S. Paten	t citatio	n information pl	ease click the Add button.	Add
			U.S.P	ATENT APPLIC	CATION PUBLICATIONS	Remove
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear

Application Number		10790901
Filing Date		2004-03-01
First Named Inventor	Jeffre	y C. Smith
Art Unit		2439
Examiner Name	Kari L	Schmidt
Attorney Docket Number		127-0007-2

lf you wisl	h to a	dd additional U.S. Pub				please click the Add butte	on. Add	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² j	Kind Code4	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	9965256	WO		1999-12-16	Fernandez et al.		
	2	9963709	WO		1999-12-09	Mousseau et al.		
	3	9917241	WO		1999-04-08	Moon et al.		
	4	0041366	WO		2000-07-13	Conner		
	5	0833492	EP	A2	1996-09-27	Foladare et al.		
	6	19832433	DE	A1	1999-02-04	Pinards		
	7	0008793	wo		2000-02-17	Senator et al.		
	8	9906929	wo		1999-02-11	Hall		

Application Number		10790901	
Filing Date		2004-03-01	
First Named Inventor	Jeffre	y C. Smith	
Art Unit		2439	
Examiner Name	Kari L	. Schmidt	
Attorney Docket Number		127-0007-2	

			NON-PATENT LITERATURE DOCUMENTS	Remove			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					
	1	Palme et al. "Issues when designing filters in messaging systems." Computer Communications, Elsevier Science Publishers BV: Amsterdam, NL. vol. 19, no. 2. February 1, 1996. Pages 95-101.					
If you wisl	h to ac	d add	ditional non-patent literature document citation information please click the Add b	outton Add			
			EXAMINER SIGNATURE				
Examiner	Signa	ture	Date Considered				
			reference considered, whether or not citation is in conformance with MPEP 609. rmance and not considered. Include copy of this form with next communication	_			
Standard ST 4 Kind of doo	Г.3). ³ F cument	or Japa by the a	TO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document anese patent documents, the indication of the year of the reign of the Emperor must precede the ser appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicants attached.	ial number of the patent doc	ument.		

(Not for submission under 37 CFR 1.99)

Application Number		10790901	
Filing Date		2004-03-01	
First Named Inventor	Jeffrey C. Smith		
Art Unit		2439	
Examiner Name	Kari L. Schmidt		
Attorney Docket Number		127-0007-2	

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached certification statement.						
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.						
X	None						
١.	SIGNATURE						
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Signature		/David W. OBrien/	Date (YYYY-MM-DD)	2012-02-16			
Name/Print		David W. OBrien	Registration Number	40107			
pub	lic which is to file	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, inclu	on. Confidentiality is gove	rned by 35 U.S.C. 122 and 37 CFR			

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

CERTIFICATION STATEMENT

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.